Investors Complaints Data

Name of the Stock Broker: Raise Securities Pvt. Ltd
SEBI Registration Number: INZ000006031

A. Data for the month ending – October 2026

Sr no	Received from	Pending at the end of last month	Received during the month	Resolved during the month *	Total Pending at the end of the month#	Pending complaints > 1 months	Average Resolution time^ (in days)
1	Directly from Investors	0	8	8	0	0	5
2	SEBI (SCORES)	15	14	22	7	0	16
3	Stock Exchanges (if relevant)	0	0	0	0	0	0
4	Other Sources (if any)	0	5	5	0	0	7
	Grand Total	**15**	**27**	**35**	**7**	**0**	**9**

* Inclusive of complaints of previous years resolved in the current year. #Inclusive of complaints pending as on the last dayof the year. ^Average resolution time is the sum total of time taken to resolve each complaint in the current month dividedby total number of complaints resolved in the current month.

B. Trend of monthly disposal of complaints for the Financial Year – 2026-2027

Sr No	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of the month**
1	Apr-26	19	28	35	12
2	May-26	12	45	39	18
3	Jun-26	18	56	47	27
4	Jul-26	27	40	64	3
5	Aug-26	3	60	52	11
6	Sep-26	11	44	40	15

C. Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	0	0	0	0
2	2019-20	0	2	2	0
3	2020-21	0	3	3	0
4	2021-22	0	2	2	0
5	2022-23	0	11	9	2
6	2023-24	2	423	425	0
7	2024-25	0	701	692	9
8	2025-26	9	322	312	19
	Grand Total	**0**	**1464**	**1445**	**19**

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021

Investors Complaints Data

Name of the Depository Participant: Raise Securities Pvt. Ltd CDSL
Registration Number: IN-DP-289-2016

Data for the month ending – October 2026

SN	Received From	Carried forward from previous month	Received during month	Total Pending	Resolved*	Pending at the end of the month**		Average Solution Time (in days)^
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from the investors	0	0	0	0	0	0	0
2	SEBI (Scores)	2	2	1	3	0	0	14
3	Depositories	4	4	1	7	0	0	14
4	Other sources (if any)	1	0	0	1	0	0	15
5	**Grand Total**	**7**	**6**	**2**	**11**	**0**	**0**	**14**

Trend of monthly disposal of complaints for the Financial Year – 2026-2027

Sr No	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	Apr-26	1	7	5	3
2	May-26	3	3	6	0
3	Jun-26	0	6	4	2
4	Jul-26	2	19	14	6
4	Aug-26	6	7	12	1
5	Sep-26	1	11	5	7

*Complaints of previous months resolved in the current month, if any.
**Total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by totalnumber of complaints resolved in the current month

Trend of annual disposal of complaints

Sr No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	1	1	0
5	2021-22	0	2	2	0
6	2022-23	0	19	19	0
7	2023-24	0	71	71	0
8	2024-25	0	44	43	1
9	2025-26	1	29	29	1
	Grand Total	**0**	**166**	**165**	**1**

Note: Above information is issued pursuant to CDSL communique number CDSL/OPS/DP/POLCY/2021/589 dated December 25, 2021